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### **Executive Summary**

The aim of Task 2.2 was to collect and discuss indicators of resilience and efficiency at the farm level. These indicators were to be compiled in this handbook for use in Task 2.3 and for work in several other work packages.

In an iterative process, a list of indicators and data collection points were defined, which contain basic agronomic and environmental indicators, and indicators developed to measure integration from the point of view of the farming system. Indicators are both qualitative and quantitative and should be interpreted as summary measures which combine different types of information. If data needed for the calculation of indicators will not be available at farm level, complementary data will be taken from data bases.

The indicators and data collection points described herein address soil, crop, livestock, environmental impacts, economics, social aspects, the position of farmers in respective value chains, efficiency and resilience of MIFAS.

### Abbreviations

| AU    | Annual Family workforce Unit             |
|-------|--|
| AWU   | Annual Workforce Unit                    |
| CDI   | Crop Diversity Index                     |
| D     | Deliverable                              |
| DM    | Dry Matter                               |
| EC    | European Commission                      |
| GDPR  | General Data Protection Regulation       |
| GE    | Gross Energy                             |
| GIS   | Geographic(al) Information System        |
| LU    | Livestock Unit                           |
| MIFAS | Mixed Farming and Agroforestry System(s) |
| NE    | Net Energy                               |
| NRE   | Non-Renewable Energy                     |
| UAA   | Utilised Agricultural Area               |
| WP    | Work Package                             |

### Contents

| 1 | Intro | oduction  | 5    |
|---|-------|---|------|
| 2 | Sug   | gested indicators for efficiency and resilience   | 6    |
|   | 2.1   | Soil indicators                                   | 6    |
|   | 2.2   | Crop indicators                                   | 7    |
|   | 2.3   | Livestock indicators                              | 10   |
|   | 2.4   | Environmental impact indicators                   | . 12 |
|   | 2.5   | Economic indicators                               | 14   |
|   | 2.6   | Social indicators                                 | . 15 |
|   | 2.7   | Indicators for position of farmers in value chain | . 17 |
|   | 2.8   | Efficiency indicators                             | . 19 |
|   | 2.9   | Resilience indicators                             | 21   |
| 3 | Imp   | lementation & outlook                             | 22   |

### List of Tables

| Table 1. Indicators for soil characteristics and soil data needed at farm (MIFAS) level                                   | 6  |
|---|----|
| Table 2. Indicators for characteristics and data needed from crop production         at crop (MIFAS) level                | 7  |
| Table 3. Indicators for characteristics and data needed from livestock production         at farm (MIFAS) level         1 | 0  |
| Table 4. Indicators for environmental impacts and data needed at farm (MIFAS) level 1                                     | 2  |
| Table 5. Indicators for economic performance and data needed at farm (MIFAS) level  | 4  |
| Table 6. Indicators for social aspects and data needed at farm (MIFAS) level 1  | 5  |
| Table 7. Indicators for farm position in value chain and data needed         at farm (MIFAS) level         1              | 17 |
| Table 8. Efficiency indicators and data needed at farm (MIFAS) level  | 9  |
| Table 9. Resilience indicators and data needed at farm (MIFAS) level  | 21 |

# 1 Introduction

The aim of Task 2.2 (Work package 2, *Co-design and evaluation of farm systems for efficiency and resilience*) was to collect and discuss indicators of resilience and efficiency at the farm level. The indicators agreed on by the partners involved were to be compiled in this handbook for use in Task 2.3 (mainly 2.3a, *Farm management data collection and collation*) and 2.4 (*Field testing strategies for increased integration*).

After collecting a first list of indicators among the authors, this was discussed and refined in several rounds both inside WP2 and with the leaders and other partners responsible for project activities related to the calculation of indicators in other WPs. In an iterative process, the indicators initially suggested, were prioritized according to

- i) their relevance for efficiency and resilience of MIFAS and
- ii) the needs of project partners.

We additionally tried to include the perspective of network coordinators and farmers about indicators by asking the following questions:

- i) Will data be available for indicator calculation (i.e. will farmers have access to this type of information or will it be possible within the available resources to collect the data in the course of the project)?
- ii) Will network coordinators and/or farmers be interested in the information provided with the assessed indicators?
- iii) What can the network/coordinators/farmers use this type of information for (benchmarking, development of MIFAS, etc.)?

Thereby the list of indicators was finalized to what is presented here. The list of indicators includes basic agronomic and environmental indicators, and indicators developed to measure integration from the point of view of the farming system. Indicators are both qualitative and quantitative and should be interpreted as summary measures which combine different types of information. Not all data needed for the calculation of indicators will be available at farm level and it is accepted it may not be available for all farms. In this case, farm data will be complemented by data available in standard data bases. Information from data bases will be selected by persons who have a sound knowledge of the respective MIFAS or farming system and the regional conditions which will be important in determining the representativity of data taken from data bases.

In the process of identifying indicators, several indicators were suggested which address actors in the value chain other than farmers and their sphere of activity. Some of these indicators are not included in this handbook, for others the information necessary for their calculation may not be available in sufficient quality at the farm level. This has to be taken into account when planning and implementing the actual data collection process.

The selected indicators are presented in Chapter 2 and in sub-chapters which mirror the aspects addressed in a MIFAS context.

# 2 Suggested indicators for efficiency and resilience

The following sub-chapters contain i) the indicators which are suggested for characterising efficiency and resilience of MIFAS at farm level and ii) the farm data to be collected for the calculation of the indicators. Both data and indicators shall address the MIFAS level; however, in cases where the MIFAS is one component integrated within the overall farm, it may be not meaningful to differentiate between MIFAS and farm level. In such cases, data will be collected at, and indicators will address, the farm level.

It is suggested that the indicators and hence the data they are based on should represent the respective traits over a time period of about 3 years in order to avoid a bias due to, e.g., particularly dry or wet, cold or warm years, etc. In many cases data will not be available for a sequence of several years. In such cases it is suggested that data is collected for a particular year, but the representativity of that collected data for the MIFAS is discussed and confirmed with farmers, network coordinators or scientists familiar with the respective MIFAS or farming system in the region.

The indicators and farm data connected to them will be structured according to the different elements of MIFAS (e.g., soil, crop, livestock, economics, etc.), which will be covered in different sub-chapters.

To allow for a quick and broad overview of the MIFAS (farm) type and as a start on the process of collecting information, the MIFAS (farm) should be first characterised by the following two categories:

- Farm type according to relative share of income from products (in the order from highest to lowest); e.g. dairy lambs wheat.
- Proportion of UAA owned vs. rented

### 2.1 Soil indicators

Table 1 contains a list of suggested indicators for MIFAS-relevant soil characteristics and the data these are based on.

| No. | Indicator                 | Unit        | Data to be collected  | Comments  |
|-----|---------------------------|-------------|---|---|
| 1   | Total nitrogen            | mg/kg       | N content (DUMAS or<br>Kjeldahl)                                |   |
| 2   | Organic matter content    | %           | Loss on ignition  | Calculated from<br>organic C  |
| 3   | Available nitrogen supply | %           | Potentially mineralizable N                                     |   |
| 4   | Soil texture              | categorical | Type of soil (estimated);<br>from FAO soil maps and<br>database | Proportion of sand,<br>silt and clay to be<br>derived by expert<br>depending on soil<br>type  |
| 5   | Soil erosion risks        | % UAA       | Total UAA, ha; fallow soil during winter, ha                    |   |
| 6   | Farm slope angle          | degree      | Average slope of farmland                                       |   |
| 7   | Soil pH                   |             | pH, H₂O   | If <5, it may be<br>necessary to<br>calculate AI and<br>other metals<br>contents.<br>pH correction to be<br>calculated when<br>applicable |
| 8   | Mn content                | mg/kg       | Mn content  | When pH <5  |

Table 2. Indicators for soil characteristics and soil data needed at farm (MIFAS) level

## 2.2 Crop indicators

Table 2 contains a list of suggested indicators for MIFAS-relevant crop (including grassland) characteristics and the data these are based on.

| No. | Indicator               | Unit                  | Data to be collected  | Comments  |
|-----|-------------------------|-----------------------|---|---|
| 1   | Nutrient recommendation | kg/ha per<br>nutrient | Total nutrition needs for the crop, according to local standard recommendations available | Per crop type   |
| 2   | Mineral N input         | kg/ha                 | Total UAA and by crop, ha; kg<br>mineral N applied & type of<br>fertilizer                | # = to be collected<br>for each type of crop  |
| 3   | Organic N input         | kg/ha                 | Total UAA and by crop, ha;<br>tonnes or m <sup>3</sup> of slurry/manure                   | # = to be collected<br>for each type of<br>crop;<br>separately for on<br>farm and imported<br>organic N input;<br>kg organic N applied<br>calculated from<br>collected data   |
| 4   | Total N input           | kg/ha                 | none; sum of above  |   |
| 5   | Mineral P input         | kg/ha                 | Total UAA and by crop, ha; kg<br>mineral P applied & type of<br>fertilizer                | # = to be collected<br>for each type of crop  |
| 6   | Organic P input         | kg/ha                 | Total UAA and by crop, ha;<br>tonnes or m <sup>3</sup> of slurry/manure                   | <ul> <li># = to be collected</li> <li>for each type of</li> <li>crop;</li> <li>separately for on</li> <li>farm and imported</li> <li>organic P input;</li> <li>kg organic P applied</li> <li>calculated from</li> <li>collected data</li> </ul> |
| 7   | Total P input           | kg/ha                 | none; sum of above  |   |
| 8   | Mineral K input         | kg/ha                 | Total UAA, ha; kg mineral K applied & type of fertilizer                                  | # = to be collected<br>for each type of crop  |
| 9   | Organic K input         | kg/ha                 | Total UAA, ha; tonnes or m <sup>3</sup> of slurry/manure                                  | # = to be collected<br>for each type of<br>crop;<br>separately for on<br>farm and imported<br>organic K input;<br>kg organic K applied<br>calculated from<br>collected data   |
| 10  | Total K input           | kg/ha                 | none; sum of above  |   |
| 11  | Agrochemical use        | kg per year           | Type (active ingredient rather<br>than product name) and<br>quantity used                 | Specify per<br>pesticide, fungicide,<br>etc. if feasible  |
| 12  | Irrigation              | yes / no              | If yes, quantity used per year  |   |
| 13  | Irrigation type         | categorical           | Type of irrigation device used  | Drip, sprinkler, pivot,<br>etc  |
| 14  | Output crop #           | kg DM/ha              | UAA cultivated with crop #,<br>ha; crop yield, kg   | <pre># = to be collected for each type of crop</pre>  |

Table 2. Indicators for characteristics and data needed from crop production at crop (MIFAS) level

| Table 2 (contd.). Indicators for characteristics and data needed from crop production at fa | rm (MIFAS) |
|---|------------|
| level   |            |

| No. | Indicator  | Unit                       | Data to be collected  | Comments  |
|-----|--|----------------------------|---|---|
| 15  | Output by-product A  | kg DM/ha                   | UAA cultivated with crop #, ha;<br>yield of by-product A, kg  | <pre># = to be collected for<br/>each type of crop; by-<br/>product including<br/>cultural residues</pre>   |
| 16  | N-output crop #  | kg N/ha                    | None (yield from above; N-<br>content to be taken from<br>database)   | to be repeated for P-,<br>K- and energy-<br>outputs   |
| 17  | N-output by-product A  | kg N/ha                    | None (yield from above; N-<br>content to be taken from<br>database)   | to be repeated for P-,<br>K- and energy-<br>outputs   |
| 18  | N-output by-product B  | kg N/ha                    | None (yield from above; N-<br>content to be taken from<br>database)   | to be repeated for P-,<br>K- and energy-<br>outputs   |
| 19  | Total output crop (by-<br>product) # to livestock                          | kg DM/LU                   | yield crop (by-product) # to<br>which type of livestock; LU   |   |
| 20  | Total N-output crop (by-<br>product) # to livestock                        | kg N/LU                    | yield & N-content crop (by-<br>product) # to which type of<br>livestock; LU   | N-content taken from<br>database; to be<br>repeated for P-, K-<br>and energy-outputs  |
| 21  | N-balance of crop<br>production  | t/ha                       | calculated from above (N<br>applied, t; N harvested, t; UAA<br>for crop production, ha)   |   |
| 22  | Share of land with legume<br>crops and mixtures<br>containing legume crops | ha/ha                      | Total UAA and by crop, ha;<br>UAA with legumes, ha; in case<br>of mixtures with legumes:<br>proportion of legumes in plant<br>communities (from 0 to 1)                   | % legumes within<br>e.g. extensive,<br>intensive permanent<br>grassland or<br>temporary grasslands  |
| 23  | Share of land with permanent grassland                                     | ha/ha                      | Total UAA, ha; UAA with<br>permanent grassland, ha;<br>intensity of land use (1=low,<br>2=moderate, 3=high intensity;<br>grazing intensity included)                      | Permanent grassland<br>is grassland<br>persisting for more<br>than 5 years  |
| 24  | Number of harvests   | n/year                     | Number of cuts  | Per type of grassland (as above)  |
| 26  | Use of stubble   | ha                         | Stubble grazing Y/N, duration and intensity of grazing  | When applicable<br>estimate N exported<br>versus N left   |
| 27  | Share of land with non-<br>permanent meadows                               | ha/ha                      | Total UAA, ha; UAA with non-<br>permanent meadows, ha;<br>intensity of land use (1=low,<br>2=moderate, 3=high intensity;<br>grazing intensity included)                   |   |
| 28  | Number of harvests   | n/year                     | Number of cuts  |   |
| 29  | Crop Management  | qualitative<br>description | Description of soil cultivation &<br>crop management processes<br>(e.g., ploughing, no tillage,<br>fertiliser application,<br>intercropping, use of cover<br>crops, etc.) | Description shall<br>allow to avoid<br>questions on fuel<br>(diesel) usage etc.; to<br>be specified for most<br>important crops.<br>Differentiation into<br>different process<br>steps may be<br>necessary. |

| Table 2 (contd.). Indicators for characteristics and data needed from crop production at farm (MIFA | ۹S) |
|---|-----|
| level   |     |

| No. | Indicator                                  | Unit           | Data to be collected  | Comments   |
|-----|--|----------------|---|--|
| 30  | Soil Organic Matter<br>Balance             | t/ha           | UAA cultivated with all crops,<br>ha; manure and slurry<br>application  | Need to know if<br>straw is removed, or<br>e.g. deliberate low<br>stocking rate of<br>grassland (residue<br>forming) |
| 31  | Crop Diversity Index                       | 0-1            | UAA cultivated with all crops;<br>CDI = $\sum Pi^2$ ; where, Pi = UAA<br>of particular crop/ total UAA<br>(in ha) |  |
| 32  | Productive trees per ha                    | number         | Number of fruit/nut trees per<br>ha of crop or grassland<br>(differentiate)                                       |  |
| 33  | Average biomass/size of<br>an average tree | m <sup>3</sup> | Average biomass/size of an<br>average tree; or: type,<br>estimated diameter and height<br>of tree                 |  |
| 34  | Years of growth/tree and replacement age   | years          | Years of growth/tree and<br>replacement age   |  |
| 35  | Yield of trees                             | t/ha           | Yield of different tree types<br>(primary fruit/nuts, secondary<br>- wood?)                                       |  |
| 36  | Further additional<br>(marginal) products  | kg             | e.g. cork, mushrooms, acorns, asparagus, etc.   | If applicable and if<br>products are sold or<br>processed  |
| 37  | Specific ecosystem<br>services             | categorical    | Tourism or any other<br>(immaterial) services   | If applicable  |

### 2.3 Livestock indicators

Table 3 contains a list of suggested indicators for MIFAS-relevant characteristics of livestock production and the data these are based on.

| Table 3. Indicators for characteristics and data needed from livestock pr | roduction at farm (MIFAS) level |
|---|---------------------------------|
|---|---------------------------------|

| No. | Indicator  | Unit                  | Data to be collected   | Comments  |
|-----|--|-----------------------|--|---|
| 1   | Output livestock category<br>#                                 | kg, litres            | Number of livestock #, n and<br>LU; yield of product (milk,<br>eggs, age and live weight or<br>growth rate of animals<br>intended for slaughter, or sold<br>for breeding or other<br>purposes, wool, etc.) | # = to be collected<br>for each livestock<br>category and type of<br>product                                |
| 2   | N-, P-, K-output livestock<br>category #                       | kg N, P, K            | Number of livestock #, n and<br>LU; yield & N-, P-, K-content<br>of product (to be collected for<br>all products; non-primary<br>products eventually from data<br>bases)                                   | # = to be collected<br>for each livestock<br>category and type of<br>product; similar for<br>energy-outputs |
| 3   | Compound feed imported   | t                     | Amount and composition of<br>compound feed imported;<br>content of DM; N, P, K from<br>data bases  |   |
| 4   | Compound feed produced on farm                                 | t                     | quantity collected under<br>"crops"; DM-, N-, P-, K-<br>content  |   |
| 5   | Forage imported  | t                     | Amount and composition of<br>forage imported; content of<br>DM, N, P, K  |   |
| 6   | Forage produced on farm  | t                     | quantity collected under<br>"crops"; DM-, N-, P-, K-<br>content  | Can also be<br>estimated from<br>livestock numbers<br>and production as a<br>cross-check                    |
| 7   | Feed self-sufficiency (DM)                                     | t/t                   | Amount of feed grown on<br>farm, t DM; amount of feed<br>required, t DM; calculated<br>from other data   |   |
| 8   | Feed self-sufficiency (N)                                      | t/t                   | Amount of N in feed grown on<br>farm, t DM; amount of N in<br>feed required, t DM;<br>calculated from other data   |   |
| 9   | Share of feed coming<br>from permanent grassland<br>(DM basis) | t/t                   | Amount of feed from<br>permanent grassland, t DM;<br>total amount of feed input into<br>livestock, t DM; calculated<br>from other data   |   |
| 10  | Land available to livestock per livestock unit                 | ha/LU                 | Total UAA for livestock<br>(including feed production),<br>ha; number of livestock #, n<br>and LU  |   |
| 11  | Breed type   | categorical           | Specialised, dual purpose?   |   |
| 12  | Longevity & Replacement rates (and mortality)                  | years or<br>cycles; % | Duration of time or production<br>cycles which animals typically<br>spend in the herd (flock);<br>proportion of animals replaced<br>per year   |   |

| No. | Indicator                          | Unit                   | Data to be collected   | Comments |
|-----|------------------------------------|------------------------|--|----------|
| 13  | Purchased livestock                | number, age,<br>weight | Type, number, age, weight of<br>purchased animals  |          |
| 14  | Share of forages in livestock diet | t/t                    | Amount of forages for<br>livestock, t DM; total amount<br>of feed input into livestock, t<br>DM; calculated from other<br>data   |          |
| 15  | Outdoor access for animals         | days/year              | Number of animals per pen<br>(housing unit) and area of<br>land available per pen<br>(housing unit); type of outdoor<br>area (floor type, pasture or<br>other land type)   |          |
| 16  | Husbandry system                   | categorical            | Flooring (proportion of slatted<br>and solid floor), lying area<br>(slatted, solid, rubber mats,<br>litter material)   |          |
| 17  | Manure management (i)              | categorical            | Type of manure (slurry vs.<br>solid dung); cleaning<br>frequency; manure storage<br>(open or covered slurry tank,<br>manure heap); composting of<br>manure (yes/no); duration of<br>storage; method of application<br>of slurry (manure) |          |
| 18  | Manure management (ii)             | categorical            | Ratio of slurry to solid manure  |          |
| 19  | Manure management (iii)            | t                      | Type and quantity of bedding material per animal class   |          |
| 20  | Manure management (iv)             | months                 | Duration of slurry/solid<br>manure storage   |          |
| 21  | Manure management (v)              | categorical            | Slurry tank management<br>(stirred, covered)   |          |
| 22  | Manure management (vi)             | %                      | % of manure exported   |          |

# Table 3 (contd.). Indicators for characteristics and data needed from livestock production at farm (MIFAS) level

## 2.4 Environmental impact indicators

Table 4 contains a list of suggested indicators for environmental impacts of MIFAS and the data these are based on.

| No. | Indicator  | Unit  | Data to be collected  | Comments   |
|-----|--|-------|---|--|
| 1   | N- balance total farm,<br>herd and field level   | kg/ha | N input, t; N output, t; UAA,<br>ha; herd size; calculated from<br>data collected in 2.2 (Crop<br>indicators) and 2.3 (Livestock<br>indicators)   |  |
| 2   | P- balance total farm,<br>herd and field level   | kg/ha | P input, t; P output, t; UAA,<br>ha; herd size; calculated from<br>data collected in 2.2 (Crop<br>indicators) and 2.3 (Livestock<br>indicators)   |  |
| 3   | K- balance total farm,<br>herd and field level   | kg/ha | K input, t; K output, t; UAA,<br>ha; herd size; calculated from<br>data collected in 2.2 (Crop<br>indicators) and 2.3 (Livestock<br>indicators)   |  |
| 4   | CH <sub>4</sub> emissions from<br>enteric fermentation per<br>livestock unit             | t/LU  | Diet composition (sufficient for<br>estimation of GE-intake; on<br>annual basis); (number of LU<br>collected under "livestock")   | Digestibility of diet<br>to be calculated<br>from database if<br>needed for Tier 2<br>approach |
| 5   | CH <sub>4</sub> emissions from<br>enteric fermentation per N<br>output unit of livestock | t/t   | none (calculated from enteric<br>CH <sub>4</sub> above & N-output from<br>livestock indicators)   |  |
| 6   | CH4 emissions from<br>manure management per<br>livestock unit                            | t/LU  | type of manure system; length<br>of storage (months), diet<br>composition for the period of<br>housing (sufficient for<br>estimation of organic matter<br>digestibility or NE/GE and<br>volatile solids); (number of LU<br>collected under "livestock") |  |
| 7   | CH <sub>4</sub> emissions from<br>manure management per<br>N output unit of livestock    | t/t   | none (calculated from CH <sub>4</sub><br>from manure management<br>above & N-output from<br>livestock indicators)   |  |
| 8   | N <sub>2</sub> O emissions per<br>livestock unit   | t/LU  | Diet composition (sufficient for<br>estimation of N-intake vs. N in<br>products); (number of LU<br>collected under "livestock")   | N-digestibility to be<br>calculated from<br>database for<br>estimation of N-<br>excretion      |

Table 4. Indicators for environmental impacts and data needed at farm (MIFAS) level

| Table 4 (contd.). Indicators for environmental impacts and data needed at farm (MIFAS) leve | Table 4 | (contd.). | Indicators for | environmental i | npacts and data | needed at farm | (MIFAS) | level |
|---|---------|-----------|----------------|-----------------|-----------------|----------------|---------|-------|
|---|---------|-----------|----------------|-----------------|-----------------|----------------|---------|-------|

| No. | Indicator  | Unit  | Data to be collected  | Comments   |
|-----|--|-------|---|--|
| 9   | N <sub>2</sub> O emissions per N<br>output unit of livestock | t/t   | none (calculated from other data)   | specified N <sub>2</sub> O<br>output per unit of<br>crop production will<br>be calculated from<br>collected data |
| 10  | NH3 emissions per<br>livestock unit                          | t/LU  | none; (manure system and<br>diet composition from above;<br>Tier 2 EMEP-guidelines;<br>number of LU collected under<br>"livestock")   |  |
| 11  | NH <sub>3</sub> emissions per N<br>output unit of livestock  | t/t   | none (calculated from other data)   |  |
| 12  | Share of area in Natura<br>2000 network                      | ha/ha | Natura 2000 area, ha; (UAA,<br>ha collected under "crop<br>indicators")   |  |
| 13  | Share of area of natural habitat                             | m²/m² | Can be collected via GIS data<br>from Google Earth; show<br>farmer Google Earth image<br>and ask him/her to indicate<br>maintained natural habitat  |  |
| 14  | Share of area under agro-<br>environmental measures          | m²/m² | UAA, ha (collected under<br>"crop indicators"); area under<br>agro-environmental measures<br>(if known);<br>Otherwise, this can be<br>collected via GIS data from<br>Google Earth; show farmer<br>Google Earth image and ask<br>him/her to indicate area under<br>agro-environmental measures |  |
| 15  | Length of hedges per<br>area                                 | m/m²  | Can be collected via GIS data<br>from Google Earth; show<br>farmer Google Earth image<br>and ask him/her to indicate<br>hedges  | Including type of<br>plants forming<br>hedges  |
| 16  | Number of isolated trees per surface unit                    | n/m²  | Can be collected via GIS data<br>from Google Earth; show<br>farmer Google Earth image<br>and ask him/her to indicate<br>isolated trees  | Including those recently planted   |
| 17  | Riparian strips per surface<br>unit                          | m/m²  | Can be collected via GIS data<br>from Google Earth; show<br>farmer Google Earth image<br>and ask him/her to indicate<br>riparian strips   |  |

## 2.5 Economic indicators

Table 5 contains a list of suggested indicators for economic performance of MIFAS and the data these are based on.

| No. | Indicator   | Unit       | Data to be collected   | Comments   |
|-----|---|------------|--|--|
| 1   | Revenue from outputs per expenses for inputs                                | €/€        | Total revenue for outputs, €;<br>total expenses for inputs, €;<br>differentiated by crop and<br>livestock                              |  |
| 2   | Gross income per<br>workforce unit  | €⁄AWU      | Gross income, € labour,<br>AWU   | AWU = annual<br>workforce unit   |
| 3   | Subsidies fraction in total revenue   | €∕€        | Subsidies, €; total revenue, €   |  |
| 4   | Net income per workforce<br>unit  | €⁄AWU      | Net income, €; labour, AWU   | AWU = annual<br>workforce unit   |
| 5   | Revenue per total asset<br>unit   | €/€        | Optional: Total revenue for<br>outputs, €; total assets, €   | If this information<br>appears to be too<br>personal, use<br>estimates from<br>FADN data   |
| 6   | Share of net worth in the total asset                                       | €∕€        | Optional: Net worth, € total<br>assets, €  | If this information<br>appears to be too<br>personal, use<br>estimates from<br>FADN data   |
| 7   | Cash flow I per family<br>workforce unit                                    | €⁄AFU      | Family labour, AFU;<br>Optional: Cash flow, €;   | AFU = annual family<br>workforce unit;<br>If information on<br>cash flow appears<br>to be too personal,<br>use estimates from<br>FADN data |
| 8   | Gross margin per ha<br>(arable) or per LU, head<br>or forage ha (livestock) | €/ha; €/LU | none; (calculated from total<br>revenues & total expenses<br>above; UAA collected under<br>"crops"; LU collected under<br>"livestock") | The income/costs<br>need to be<br>differentiated at the<br>crop/livestock<br>enterprise level to<br>calculate the<br>enterprise GMs.       |

### 2.6 Social indicators

Table 6 contains a list of suggested social indicators for MIFAS and the data these are based on.

Table 6. Indicators for social aspects and data needed at farm (MIFAS) level

| No. | Indicator  | Unit                      | Data to be collected   | Comments   |
|-----|--|---------------------------|--|--|
| 1   | UAA per workforce unit   | ha/AWU                    | None; calculated from other<br>data; UAA collected under<br>2.2, Crop indicators; AWU<br>collected under 2.5, Economic<br>indicators     | All family labour<br>(e.g. spouse and<br>children) shall be<br>included here.  |
| 2   | Livestock units per<br>workforce unit  | LU/AWU                    | None; calculated from other<br>data; LU collected under 2.3,<br>Livestock indicators; AWU<br>collected under 2.5, Economic<br>indicators |  |
| 3   | Hired workforce per total workforce  | hired<br>AWU/total<br>AWU | None; calculated from other<br>data; AWU and AFU collected<br>under 2.5, Economic<br>indicators; Hired AWU = AWU<br>- AFU                |  |
| 4   | Number of hours<br>compared to statutory<br>time as perceived by the<br>farmer | categorical               | Stated as full time, part time,<br>or as a percentage of FT e.g.<br>100%.  |  |
| 5   | Free time  | h/week                    | Number of hours of free time per week  |  |
| 6   | Number of weekends off in a year   | n/year                    | Number of work-free<br>weekends per year   |  |
| 7   | Number of days off for holiday in a year                                       | n/year                    | Number of free days (for vacation) per year  |  |
| 8   | Workload of the farm as perceived by the farmer                                | Likert scale,<br>1-5      | Perceived workload, 1= very<br>low, 5 = extremely high   |  |
| 9   | Exposure to hazardous chemicals  | Likert scale,<br>1-5      | Perceived exposure, 1= very<br>low, 5 = extremely high   |  |
| 10  | Concern about physical work  | Likert scale,<br>1-5      | Concern, 1= very low, 5 =<br>extremely high  |  |
| 11  | Education indicator  | h/year                    | Time spent in training per year  | Asking this would<br>need to be justified<br>due to GDPR! This<br>might be avoided by<br>asking for number of<br>trainings attended<br>per year. |
| 12  | Employment   | n                         | Number of permanent and<br>seasonal jobs created on farm<br>due to MIFAS   |  |

| No. | Indicator   | Unit                            | Data to be collected  | Comments |
|-----|---|---------------------------------|---|----------|
| 13  | Working atmosphere  | Likert scale,<br>1-5            | Perceived atmosphere, 1=<br>very poor, 5 = extremely good   |          |
| 14  | Income as perceived by the farmer                         | Likert scale,<br>1-5            | Perceived income, 1= very poor, 5 = extremely high  |          |
| 15  | Farm performance as<br>perceived by the farmer            | Likert scale,<br>1-5            | Perceived performance, 1=<br>very poor, 5 = extremely high  |          |
| 16  | Collaboration indicator:<br>meetings                      | h/year                          | Time spent in collaboration meetings  |          |
| 17  | Collaboration indicator:<br>assistance (active)           | h/year                          | Time spent in helping others  |          |
| 18  | Collaboration indicator:<br>assistance (receiving)        | h/year                          | Time others help you  |          |
| 19  | Collaboration indicator: administration                   | h/year                          | Time spent in collaboration administration  |          |
| 20  | Economic viability in 10 years as perceived by the farmer | yes/no                          | Expectation whether farm will<br>or will not be economically<br>viable in 10 years  |          |
| 21  | Expectation about farm<br>succession                      | categorical                     |   |          |
| 22  | Overall farmer's satisfaction                             | Likert scale,<br>1-5            | Overall satisfaction with<br>current situation and situation<br>in the near future, 1= very<br>poor, 5 = extremely positive |          |
| 23  | Income of spouse  | % spouse<br>working off<br>farm | Proportion of spouse working<br>off farm in % of a total<br>workforce   |          |

### Table 6 (contd.). Indicators for social aspects and data needed at farm (MIFAS) level

## 2.7 Indicators for position of farmers in value chain

Table 7 contains a list of suggested indicators which characterise the position of farmers in the value chain(s) and the data these are based on.

| Table 7 | . Indicators for | r farm position i | n value chain and | data needed at farm | (MIFAS) level |
|---------|------------------|-------------------|-------------------|---------------------|---------------|
|---------|------------------|-------------------|-------------------|---------------------|---------------|

| No. | Indicator   | Unit                  | Data to be collected   | Comments  |
|-----|---|-----------------------|--|---|
| 1   | Power and Dependency<br>Dyadic Relationship, by<br>each farmer per buyer    | Two* Likert<br>scales | perceived buyer dependency,<br>1 = low, 5 = high;<br>perceived supplier<br>dependency, 1 = low, 5 = high   | Each farmer gives<br>their perception of<br>their relations with<br>each of their buyers<br>for their products.<br>Different<br>dependencies<br>determine strengths<br>of negotiation<br>positions, and<br>hence captured<br>value, of specific<br>actors |
| 2   | Number of actors main product(s) is (are) sold to                           | n                     | Number of buyers (actors) the main product(s) is (are) being sold to   | Number of buyers<br>separately if several<br>products are sold  |
| 3   | Type of buyer for main<br>product(s)  | categorical           | Type of buyer (actor) main<br>product(s) is (are) being sold<br>to   |   |
| 4   | Number of actors by-<br>product(s) is (are) given<br>away to                | Ν                     | Number of actors the by-<br>product(s) is (are) given away<br>to   | Number of buyers<br>separately if several<br>by- products   |
| 5   | Type of actor for by-<br>product(s)   | categorical           | Type of actor by-product(s) is (are) give away to  |   |
| 6   | Type of sale channel for main product(s)                                    | categorical           | Type of sale channel for main<br>product(s) going to main<br>actor(s) (buyer(s))   |   |
| 7   | On-Farm processing for<br>main primary product(s)                           | yes/no                | Is (are) saleable primary<br>product(s) being processed<br>into saleable product(s)?   |   |
| 8   | Value added by<br>processing (only if<br>processing takes place on<br>farm) | €⁄unit (e.g.<br>kg)   | Value of saleable processed<br>product - value of saleable<br>primary product  |   |
| 9   | Perception of value-added processing  | Likert scale          | Do farmers feel that<br>processing improves<br>products' marketability with<br>buyers?   |   |
| 10  | Number of partnerships  | Ν                     | Number of other non-buyer<br>actors involved in production,<br>processing or marketing (e.g.<br>cooperatives, inter-farmer<br>relations such as input<br>exchange, etc.) | Should be answered<br>for each type of<br>partnership defined<br>by a transaction of a<br>specific matter or<br>land  |
| 11  | Type of partner   | categorical           | Type of partners involved in<br>partnership from above   |   |

| Table 7 (contd.). Indicators for farm position in value chain and data needed at fa | rm (MIFAS) level |
|---|------------------|
|   |                  |

| No. | Indicator   | Unit        | Data to be collected   | Comments   |
|-----|---|-------------|--|--|
| 12  | Importance of the partnership for the farmer regarding substitutability                   | categorical | Subjective view of the farmer<br>regarding the impact of the<br>substitutability of the<br>partnership from above<br>(1=very substitutable; 4=very<br>difficult to substitute) | What if the<br>partnership stops,<br>how much is it going<br>to change/impact<br>your farming<br>practices/<br>organization? |
| 14  | At farm level: Extra costs<br>due to MiFAS (compared<br>to specialised system)            | €/unit      | Extra costs (fixed, variable) & risks (productions,) due to being a MIFAS compared to a specialised farm   | If numbers are not<br>possible, qualitative<br>answers also suffice  |
| 15  | At farm level: Reduced<br>costs due to MiFAS<br>(compared to specialised<br>system)       | €/unit      | Reduced costs (fixed,<br>variable) & risks (production,<br>financial,) due to being a<br>MIFAS compared to a<br>specialised farm   | If numbers are not<br>possible, qualitative<br>answers also suffice  |
| 16  | At farm level: Additional<br>revenues due to MiFAS<br>(compared to specialised<br>system) | €⁄unit      | Additional revenues, such as<br>price premium, due to being a<br>MIFAS compared to a<br>specialised farm   | If numbers are not<br>possible, qualitative<br>answers also suffice  |
| 17  | At farm level: Revenues<br>forgone due to MiFAS<br>(compared to specialised<br>system)    | €/unit      | Revenues forgone, such as<br>missing a volume bonus, due<br>to being a MIFAS compared<br>to a specialised farm   | If numbers are not<br>possible, qualitative<br>answers also suffice  |

## 2.8 Efficiency indicators

Table 8 contains a list of suggested indicators for efficiency of MIFAS and the data these are based on.

| Table 8. | . Efficiency indicators | and data needed | l at farm (MIFAS) level |
|----------|-------------------------|-----------------|-------------------------|
|----------|-------------------------|-----------------|-------------------------|

| No. | Indicator  | Unit                  | Data to be collected   | Comments |
|-----|--|-----------------------|--|----------|
| 1   | DM-output crops per UAA                              | kg/ha                 | None; calculated from other<br>data; UAA collected under<br>2.2, Crop indicators; AWU<br>collected under 2.5, Economic<br>indicators   |          |
| 2   | DM-output crops per<br>workforce unit                | kg/AWU                | None; calculated from other<br>data; crop output collected<br>under 2.2, Crop indicators;<br>AWU collected under 2.5,<br>Economic indicators   |          |
| 3   | N-output crops per UAA                               | kg/ha                 | None; calculated from other<br>data collected under 2.2, Crop<br>indicators  |          |
| 4   | N-output crops per<br>workforce unit                 | kg/AWU                | None; calculated from other<br>data collected under 2.2, Crop<br>indicators and 2.5, Economic<br>indicators  |          |
| 5   | N-output livestock per LU                            | kg N/n                | None; calculated from other<br>data collected under 2.3,<br>Livestock indicators   |          |
| 6   | Total farm N-output per<br>workforce unit            | kg N/AWU              | None; calculated from other<br>data collected under 2.2, Crop<br>indicators, 2.3, Livestock<br>indicators and 2.5, Economic<br>indicators  |          |
| 7   | N-output crops to<br>livestock per workforce<br>unit | kg N/AWU              | None; calculated from other<br>data collected under 2.2, Crop<br>indicators, 2.3, Livestock<br>indicators and 2.5, Economic<br>indicators  |          |
| 8   | N-efficiency total farm                              | kg/kg                 | None; calculated from other<br>data collected under 2.2, Crop<br>indicators, and 2.3, Livestock<br>indicators  |          |
| 9   | N-efficiency of plant production                     | kg/kg                 | None; calculated from other<br>data collected under 2.2, Crop<br>indicators  |          |
| 10  | N-efficiency of livestock<br>production              | kg/kg                 | None; calculated from other<br>data collected under 2.3,<br>Livestock indicators   |          |
| 11  | Thrifty use of non-<br>renewable energy (NRE)        | MJ/€ gross<br>product | None; calculated from other<br>data collected under 2.2, Crop<br>indicators (management<br>processes) and taken from<br>data bases; data collected<br>under 2.4, Environmental<br>impact indicators, and 2.5,<br>Economic indicators |          |

| No. | Indicator                              | Unit                                  | Data to be collected   | Comments  |
|-----|--|---------------------------------------|--|---|
| 12  | Productivity of NRE inputs             | MJ from<br>outputs / MJ<br>NRE inputs | None; calculated from other<br>data collected under 2.2, Crop<br>indicators (management<br>processes) and taken from<br>data bases; data collected<br>under 2.4, Environmental<br>impact indicators, and 2.5,<br>Economic indicators | Comments  |
| 13  | Economic efficiency<br>(profitability) | €outputs / €<br>inputs                | None; calculated from other<br>data collected under 2.2, Crop<br>indicators, 2.3, Livestock<br>indicators, and 2.5, Economic<br>indicators   |   |
| 14  | Total factor productivity              | €/€                                   | None; calculated from other<br>data collected under 2.2, Crop<br>indicators, 2.3, Livestock<br>indicators, and 2.5, Economic<br>indicators   |   |
| 15  | Technical efficiency                   | dimension-<br>less                    | None; calculated from other<br>data collected under 2.2, Crop<br>indicators, and 2.3, Livestock<br>indicators  | From collected data<br>production function<br>will be computed,<br>comparing actual<br>and maximum<br>production, actual<br>and minimum input<br>use. |
| 16  | Economic efficiency                    | dimension-<br>less                    | None; calculated from other<br>data collected under 2.2, Crop<br>indicators, 2.3, Livestock<br>indicators, and 2.5, Economic<br>indicators   | From collected data<br>production function<br>will be computed,<br>comparing actual<br>and maximum<br>revenues, actual<br>and minimum costs.          |

Table 8 (contd.). Efficiency indicators and data needed at farm (MIFAS) level

### 2.9 Resilience indicators

Table 9 contains a list of suggested indicators for resilience of MIFAS and the data these are based on.

| No. | Indicator            | Unit                                     | Data to be collected <sup>1</sup>  | Comments |
|-----|----------------------|--|--|----------|
| 1   | Robustness (1)       | Scale 1 - 7 &<br>"Why so?" <sup>2</sup>  | Perceived capacity of farm to<br>return to current profitability<br>after serious challenges                   |          |
| 2   | Robustness (2)       | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived personal capacity<br>of managing the farm to allow<br>quick recovery from shocks                     |          |
| 3   | Robustness (3)       | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived easiness to<br>personally get back to normal<br>after a setback                                      |          |
| 4   | Robustness (4)       | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived sufficiency of<br>options to deal with severe<br>shocks on the farm                                  |          |
| 5   | Adaptability (1)     | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived capacity of farm to<br>adopt new activities, varieties,<br>technologies in response to<br>challenges |          |
| 6   | Adaptability (2)     | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived personal capacity<br>of adaptation to challenging<br>situations                                      |          |
| 7   | Adaptability (3)     | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived ability to personally adapt in times of change   |          |
| 8   | Adaptability (4)     | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived inflexibility of farm,<br>i.e., lack of adjustment if<br>dealing with a changing<br>environment      |          |
| 9   | Transformability (1) | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived personal ability to make decisions that result in transformation                                     |          |
| 10  | Transformability (2) | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived difficulty of farm<br>reorganisation in response to<br>drastical change of external<br>circumstances |          |
| 11  | Transformability (3) | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived ability to drastically<br>reorganise the farm in<br>reaction to challenges                           |          |
| 12  | Transformability (4) | Scale 1 - 7 &<br>" Why so?" <sup>2</sup> | Perceived ability to make<br>major transformative changes<br>if needed   |          |

Table 9. Resilience indicators and data needed at farm (MIFAS) level

<sup>1</sup>Based on: Slijper, T., Y. de Mey, P.M. Poortvliet, M.P.M. Meuwissen, 2020. From risk behavio<u>u</u>r to perceived farm resilience: a Dutch case study. Ecology and Society 25(4):10. <sup>2</sup>Open question.

# 3 Implementation & outlook

The selected data collection points and wide-ranging indicators presented in chapter 2 provide a basis for development of a data collection and reporting process, continued in Task 2.3, and linked to work in many other work packages. During this process, further refinement of the exact data requirements and style of data collection will be undertaken to enable the optimal combination of simplicity of use, whilst ensuring the highest data quality for use within the project. Note that each MIFAS has its own specificities and that additional indicators may be necessary.